

# Regular Account Transfer Form



Use this form to move regular (taxable) account assets now held at a different financial institution to Homestead Funds. **If you are transferring assets to a new Homestead Funds account, also complete and return the account application that is appropriate for the account type checked in Section 1.**

Return your completed form to Homestead Funds using the postage-paid envelope provided, or mail it to:

**Regular Mail**

Homestead Funds  
P.O. Box 219486  
Kansas City, MO 64121-9486

**Overnight Mail**

Homestead Funds  
330 W. 9th Street, 1st Floor  
Kansas City, MO 64105-1514

If you have a question about the form, call us at 800.258.3030. For complete information about Homestead Funds and services, see the prospectus, which is available at [homesteadfunds.com](http://homesteadfunds.com) or by calling the above toll-free number.

## 1. Ownership of Account Being Transferred

Tell us how the account you are transferring from is registered.

Owner/Trustee/Minor's First Name  Middle Initial  Last Name

Social Security Number

Daytime Telephone Number (in case we have questions)  
 -  -

Street Address

City  State  Zip Code

Account Number(s) at releasing financial institution  If a CD, also write in the maturity date (mm/dd/yyyy)  
 /  /

**Account type. Check one:**

Individual or Joint     UGMA/UTMA     Corporation     Trust  
 Partnership     Plan Sponsor or Broker-Dealer  
 Other Type of Organization

Be sure to sign your completed form in Section 5. Also contact your current financial institution to determine if they require a Medallion Signature Guarantee (MSG) Stamp in Section 6.

The account you are transferring to must be registered to the same individual(s) (same name and Social Security Number) and classified as the same account type as the account you are transferring from.

◆ **If Applicable**

Joint Owner/Trustee/Custodian's First Name  Middle Initial  Last Name

Social Security Number

Daytime Telephone Number (in case we have questions)  
 -  -

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## 2. Current Financial Institution Address (where we should mail request)

Provide the name and address of the financial institution currently holding this account.

Mutual Fund or other Financial Institution Company Name

Mailing Address for Transfer Requests

City

State

Zip Code

Phone Number (if known)

 -  - 

Account Representative (if known)

Please contact your current financial institution to verify the address that this request should be mailed to as it is common for financial institutions to have many addresses. If you provide the wrong address your transfer may not be completed.

## 3. Transfer Instructions

Write in the current account number and amount you are transferring from your current account to your Homestead Funds account. If you are transferring assets to a new Homestead Funds account, also complete and return the appropriate account application for your account type.

Account Number at releasing financial institution

Type of transfer – must check one.

Liquidate

Transfer in kind

Amount to transfer – must complete one.

All or \$

or

shares

Description of Assets (CD, Mutual Fund, Brokerage)

Symbol or CUSIP (if applicable)

Transfer in kind is not common. These are transfers to/from omnibus accounts to/from regular accounts already invested in Homestead Funds. If you check this box, skip Section 4. Your investment allocations will stay the same.

Account Number at releasing financial institution

Type of transfer – must check one.

Liquidate

Transfer in kind

Amount to transfer – must complete one.

All or \$

or

shares

Description of Assets (CD, Mutual Fund, Brokerage)

Symbol or CUSIP (if applicable)

If you complete more than one account number in Section 3, each must match the registration and financial institution in Sections 1 & 2.

Account Number at releasing financial institution

Type of transfer – must check one.

Liquidate

Transfer in kind

Amount to transfer – must complete one.

All or \$

or

shares

Description of Assets (CD, Mutual Fund, Brokerage)

Symbol or CUSIP (if applicable)

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## 3. Transfer Instructions *(continued)*

If you are transferring Certificates of Deposit (CDs) only, indicate whether you want your current custodian to liquidate the CDs now or at maturity.

**Check one (if applicable):**

- Liquidate Immediately (for CDs only).** If transferring CDs prior to maturity you may incur a penalty for early withdrawal.
- Liquidate at maturity (for CDs only).** Transferring CDs at maturity is difficult to coordinate due to timing issues. Please consult your current financial institution to notify them of your intent and to discuss your options.

## 4. Homestead Funds Account Information and Fund Selections

Tell us how the amount you are transferring to Homestead Funds should be invested once we receive your assets from the current financial institution.

**You must complete Sections A and B.**

### A. Homestead Funds Account Information

**Check one:**

- Deposit proceeds in a new Homestead Funds account per the investment allocations below. (You must also complete and mail, with this form, the appropriate account application.)
- Deposit proceeds in an existing Homestead Funds account that matches the registration in **Section 1.**

Homestead Funds Account Number

Make sure to complete Sections A and B.

### B. Fund Selections

You must also provide allocations below.

Fund Name	Investment Allocation		
Daily Income Fund (168)	\$ <input type="text"/>	or	<input type="text"/> %
Short-Term Government Securities Fund (170)	\$ <input type="text"/>	or	<input type="text"/> %
Short-Term Bond Fund (172)	\$ <input type="text"/>	or	<input type="text"/> %
Stock Index Fund (174)	\$ <input type="text"/>	or	<input type="text"/> %
Value Fund (176)	\$ <input type="text"/>	or	<input type="text"/> %
Small-Company Stock Fund (178)	\$ <input type="text"/>	or	<input type="text"/> %
International Equity Fund (180)	\$ <input type="text"/>	or	<input type="text"/> %
Growth Fund (182)	\$ <input type="text"/>	or	<input type="text"/> %
<b>Total</b>			
	\$ <input type="text"/>	or	100 %

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## 5. Signatures

I authorize the transfer of assets to a Homestead Funds account and authorize Homestead Funds and State Street Bank and Trust Company to process this request on my behalf.

**Please check with your current financial institution to see if they require a signature guarantee in order to release the assets. If so, please also complete Section 6.**

Owner/Trustee/Custodian's Signature

Date (mm/dd/yyyy)

 /  / 

Joint Owner's Signature (Required if a joint account)

Date (mm/dd/yyyy)

 /  / 

Be sure to sign this section. We cannot act on your instruction without your signature.

If a minor account, the custodian must be the same at the current financial institution and at Homestead Funds.

## 6. Medallion Signature Guarantee (MSG) Stamp

Medallion Signature Guarantee (MSG) Stamps are required for some types of financial transactions. Homestead Funds does not require you to obtain a MSG Stamp when you are transferring assets to Homestead Funds; however, your current financial institution may require a MSG Stamp. This stamp can be obtained from some financial institutions such as banks, broker-dealers, and credit unions.

Financial Institution: Affix medallion stamp here.

### Instructions for Resigning Financial Institution

Please prepare a check, made payable to Homestead Funds, FBO (shareholder's name and account number) for the liquidation amount requested. Please mail a copy of this Transfer Form with the check.

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